

# Suboptimal Immune Recovery on Antiretroviral Therapy

## Causes, Consequences, and the Search for Solutions

---

Richard Jefferys  
Treatment Action Group

Nelson Vergel  
Program for Wellness Restoration

November 29, 2017

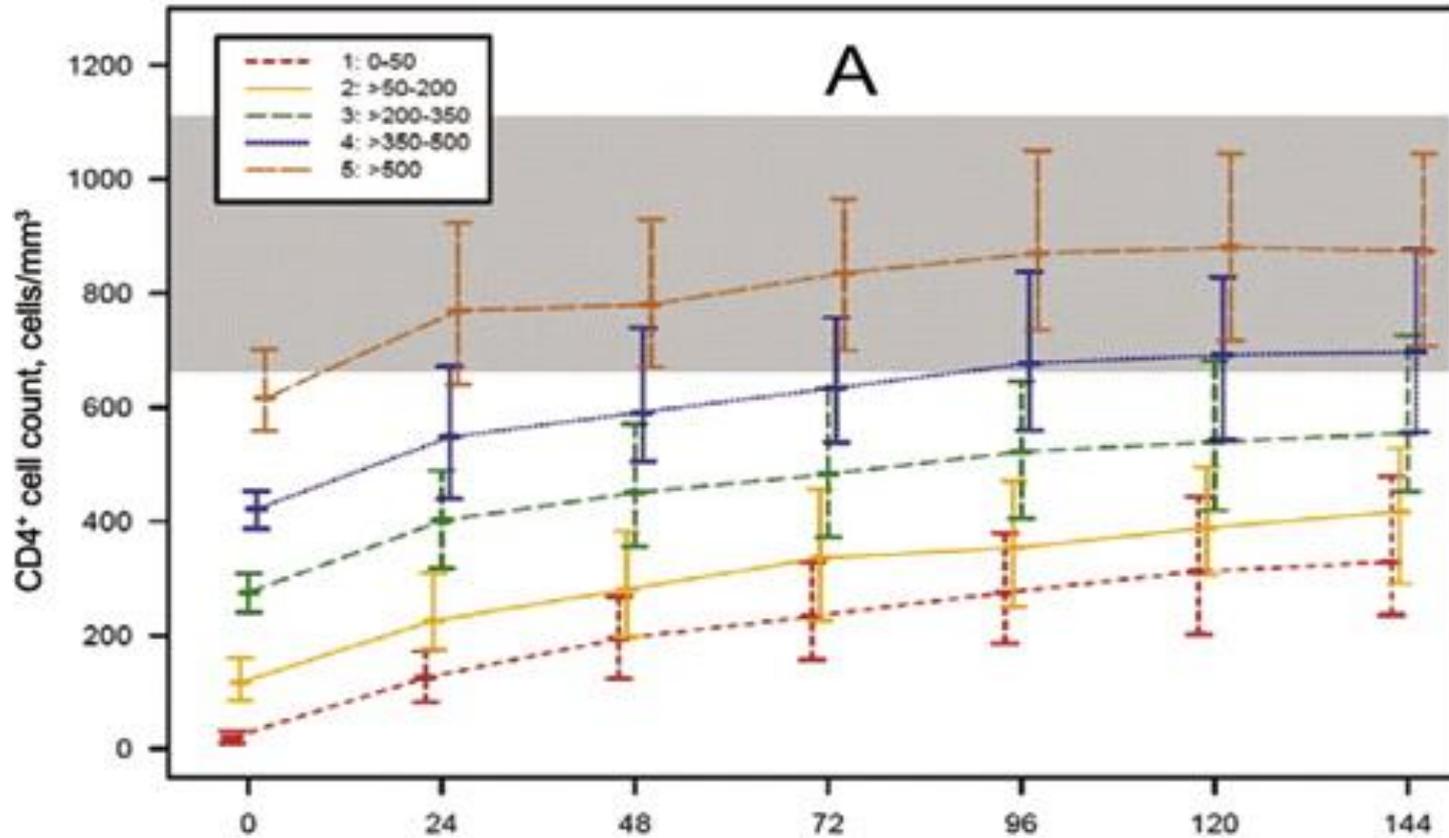
# Outline

- Immune system recovery on antiretroviral therapy (ART)
  - Factors associated with persistently low CD4 T cell counts despite HIV viral load suppression
- Studies reporting increased risk of morbidity & mortality associated with lower CD4 counts on ART
- Candidate therapies for improving immune reconstitution
- Advocacy discussions with the FDA regarding encouraging the development of candidate therapies

# Immune system recovery on ART

- Studies when triple combination ART first became available showed a consistent pattern of immune recovery associated with suppression of HIV viral load:
  - Rapid increases in numbers of memory CD4 T cells, reflecting both redistribution from lymph tissues and cell proliferation
  - Increased immune responses to pathogens that cause opportunistic infections (e.g. candida) and routine immunizations
  - Decreased over-activation of the immune system
  - Slow increase in naïve CD4 T cells from the thymus

# CD4 gains on ART relate to level at start



From: [Incomplete Reconstitution of T Cell Subsets on Combination Antiretroviral Therapy in the AIDS Clinical Trials Group Protocol 384](#)

Clin Infect Dis. 2009;48(3):350-361. doi:10.1086/595888

Clin Infect Dis | © 2009 by the Infectious Diseases Society of America

# A subset of individuals experiences suboptimal CD4 T cell recovery

- No consensus as to how to define suboptimal CD4 T cell recovery (also referred to as immunological non-response or discordant response)
- A [review of published literature](#) found 14 differing definitions in 20 studies
- Suggested criteria have included:
  - A CD4 T cell increase of <30% or an absolute count <200 after 6-12 months of ART
  - Lack of an increase in the CD4 T cell count to more than 350–500 cells after 4–7 years of HIV suppression by ART
  - A rise of less than 50 CD4 T cells after six months of ART in individuals who start with <350 CD4 T cells
- Estimates are in the range of 15-30% of individuals on ART experiencing suboptimal immune recovery

# A subset of individuals experiences suboptimal CD4 T cell recovery

- A [combined analysis of two large international cohort studies](#) (ART-CC & COHERE) looked at individuals who started ART at <200 CD4 T cells
  - Out of 5550 individuals, 835 (15%) did not experience a CD4 T cell count increase to >200 after three years of HIV suppression by ART
- The phenomenon has been reported in studies from multiple geographic locations
  - [The Antiretroviral Therapy in Low Income Countries \(ART-LINC\) Collaboration reported](#) 1,260 out of 7,160 (17.6%) individuals experiencing a lack of CD4 T cell recovery after ~6 months of ART

# Estimated outcomes after seven years on ART based on starting CD4 T cell count

	<b>Estimated % at year 7</b>		
<b>Pre-ART</b>	<b>≤200</b>	<b>≤350</b>	<b>≤500</b>
>500	0	2	5
351–500	3	3	5
201–350	5	9	45
≤200	11	25	47

Estimated CD4+ T-cell count outcomes (cells/mm<sup>3</sup>) had all patients remained on antiretroviral therapy throughout the seven years of follow-up. All estimates were obtained using inverse probability of censoring weighting (IPCW).

[Long-term increase in CD4+ T-cell counts during combination antiretroviral therapy for HIV-1 infection](#)

Lok, Judith J; Bosch, Ronald J; Benson, Constance A; Collier, Ann C; Robbins, Gregory K; Shafer, Robert W; Hughes, Michael D; for the ALLRT team  
AIDS 24(12):1867-1876, July 31st, 2010. doi: 10.1097/QAD.0b013e32833adbcf

# Factors associated with suboptimal CD4 T cell recovery

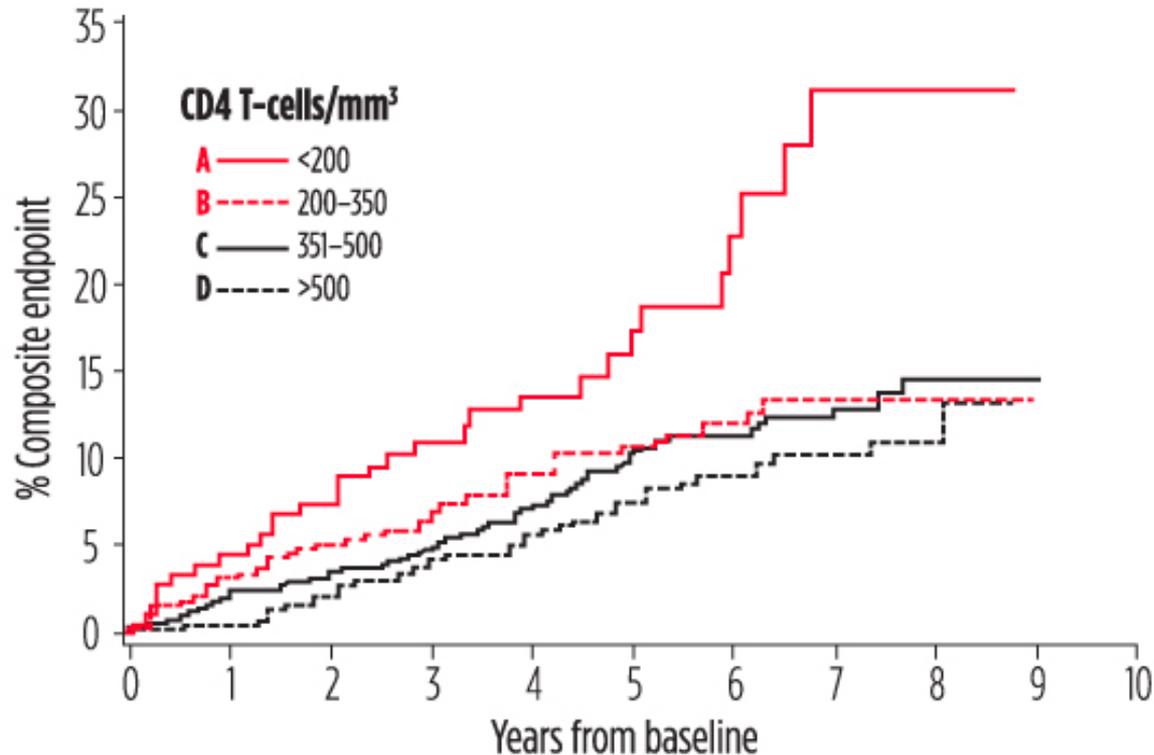
- The most consistently reported associations are with older age and CD4 T cell count at ART initiation
  - Production of new T cells by the bone marrow/thymus is known to decline with advancing age
- Studies have identified multiple possible contributing mechanisms
  - Low T cell production by bone marrow/thymus
  - Increased T cell activation and death, potentially caused by co-infections and/or leaking of normally friendly bacteria from the gut (microbial translocation)
  - [Scarring damage \(fibrosis\) in lymph tissue](#)
  - Genetic factors (variation in genes related to immunity)
  - [Anti-CD4 antibodies](#)

# Increased risk of illness and death

- HIV suppression by ART greatly decreases risk of illness and death
- But most studies have found risk is elevated 2- to 3-fold among individuals who experience suboptimal CD4 T cell recovery compared to those with greater increases
  - Reviewed in Kelly *et al* <https://doi.org/10.1371/journal.pone.0156099>
- In [the ART-CC & COHERE study](#), there were 175 deaths among the 5550 individuals analyzed over three years:
  - 66 (7.9%) of those who did not attain a CD4 count >200 cells, compared to 109 (2.3%) of those who attained a CD4 count >200 cells

# Increased risk of illness and death

- Most studies that have assessed risk of AIDS or non-AIDS events have also reported ~2 fold increased risk associated with suboptimal CD4 T cell recovery



Poor CD4 T-cell recovery despite suppression of viral load associated with an increased risk of death, AIDS, cancer, liver disease, and cardiovascular events (composite endpoint) in the Dutch ATHENA HIV cohort

Source: [Long-term complications in patients with poor immunological recovery despite virological successful HAART in Dutch ATHENA cohort](#)

AIDS 26(4):465-474, February 20th, 2012.

# Candidate therapies

- Interleukin-2 (IL-2) is a type of immune system messenger protein known as a cytokine
- IL-2 triggers CD4 T cell proliferation and was studied in two large efficacy trials in people with HIV: [ESPRIT and SILCAAT](#)
- IL-2 was associated with increases in CD4 T cell counts but did not significantly reduce incidence of illness and death
- [Additional studies](#) found IL-2 also failed to improve response to routine vaccinations in people with HIV on ART
- [May have](#) preferentially increased levels of CD4 T cell subsets involved in immune regulation
  - **Not all CD4 T cells are created equal, health benefit of an immune-based intervention must be proven**

# Candidate therapies

- Interleukin-7 (IL-7) is another cytokine that can increase CD4 T cell numbers via a different mechanism to IL-2
- [Multiple studies](#) in people with HIV on ART indicated potential to promote CD4 T cell increases
  - [Some evidence](#) of decreases in inflammation biomarkers
  - [Possible risk of increase in HIV DNA levels](#)
- Original manufacturer Cytheris had ambitious plans to conduct a large phase III clinical endpoint trial in HIV+ people with suboptimal immune recovery, but went out of business in 2015
- The rights to pursue IL-7 as a therapy for HIV-related immune impairment are reportedly now held by a collaboration involving the French National Agency for Research on AIDS and Viral Hepatitis (ANRS), but fate currently unclear
- Long-acting IL-7 formulation in development by Korean Company [NeoImmuneTech](#), but no studies planned in HIV (as yet)

# Candidate therapies

- SB-728-T gene therapy
  - Extraction of CD4 T cells via apheresis
  - Gene modification of the CD4 T cells to remove CCR5 receptor
  - Expansion and reinfusion of gene-modified cells
- One of the first trials included a cohort of individuals with suboptimal immune recovery
  - [Demonstrated significant CD4 T cell increases and improvements in CD4:CD8 T cell ratio](#)
- Despite [advocacy efforts](#), biotech manufacturer Sangamo BioSciences has not shown interest in pursuing development of SB-728-T for this population

# Candidate therapies

- A variety of other approaches under study by academic investigators
- Probiotics
  - [Some reports](#) of anti-inflammatory and other benefits but relatively few published studies in people with suboptimal immune recovery ([ongoing trial](#) of VSL#3 in Canada)
- Mesenchymal stem cells
  - Under study in [China](#) and [Spain](#), initial report of CD4 count and anti-inflammatory benefits in [small pilot trial](#)
- Pyridostigmine (acetylcholinesterase inhibitor used to treat myasthenia gravis)
  - [Randomized trial ongoing in Mexico](#), some evidence of CD4 benefit [reported](#) in uncontrolled pilot study

# Candidate therapies

- Antifibrotic drug losartan
  - [Published study](#) reported CD4 T cell benefit from antifibrotic drug added to ART in the SIV/macaca model
  - Two ongoing trials of losartan in HIV+ people on ART with CD4 T cells <600 (clinicaltrials.gov listings: [NCT01852942](#) and [NCT02049307](#))
- Arabinoxylan rice bran supplementation (BRM4)
  - Nutritional supplement [being studied](#) in HIV+ people on ART with CD4 T cells 100-350 at the University of Southern California

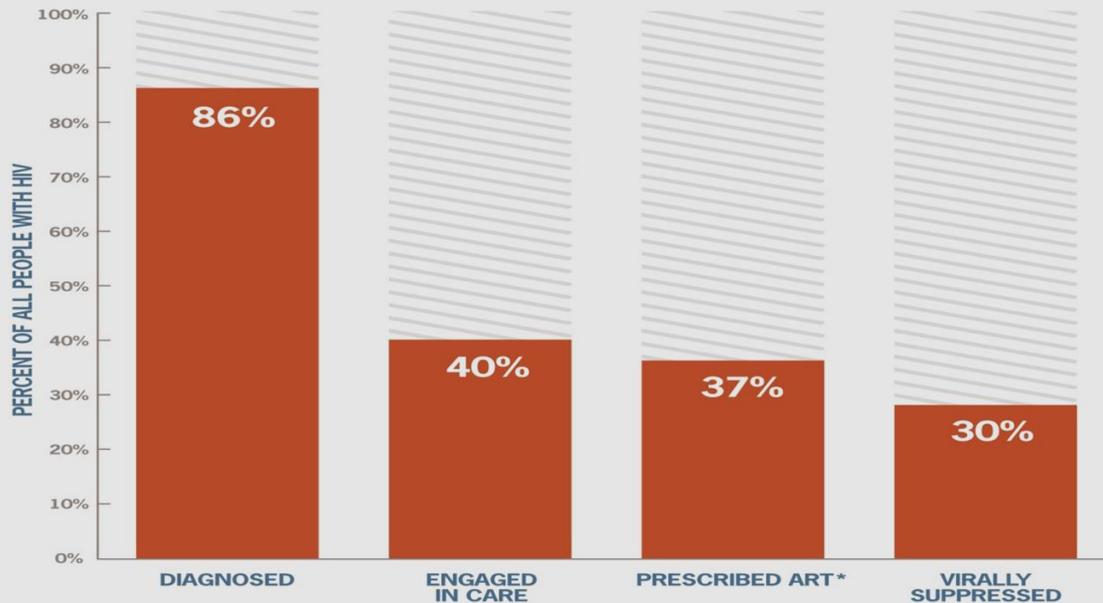
# Advocacy discussions with FDA

- Meeting held on June 10, 2016
  - Notes available online: <http://www.treatmentactiongroup.org/basic-science/FDA-INR-notes>
- Possibility raised of using FDA's orphan drug designation in the US to encourage development of therapies for people with suboptimal immune recovery on ART
- Discussion of how to design trials to demonstrate efficacy

# US population estimates

## HIV STAGES OF CARE

There is an urgent need to reach more people with testing and make sure those with the virus receive prompt, ongoing care and treatment.



SOURCES: CDC National HIV Surveillance System and Medical Monitoring Project, 2011 and CDC Vital Signs, Nov. 2014, [www.cdc.gov/vitalsigns](http://www.cdc.gov/vitalsigns).

\* Antiretroviral therapy

- Total HIV+ Population in the US: 1.3 Million
- 30% Virally Suppressed = 390,000 people
- ~20% of 390,000 may have suboptimal immune recovery = 78,000
- Rough estimate: may have increased with recent increases in proportion of people with HIV suppression

# Predicted yearly additions to population

- The most recent [CDC surveillance report](#) states:
  - Among persons with an HIV diagnosis during 2015, 21.6% of infections (8,617) were classified as stage 3 (AIDS) at the time of diagnosis
  - This proportion has decreased slightly in recent years: in 2013, it was 23.6% of infections
- A conservative estimate would be that ~20% of these late-diagnosed individuals might become INRs (~1,500-2,000 individuals per year)
- This trend should hopefully continue to decline in the future depending on outreach/education efforts for treatment and prevention
- At the current rate, it is extremely unlikely that there will ever be over 200,000 HIV+ individuals with suboptimal immune reconstitution in the US (the orphan drug designation patient population maximum)

# Search Orphan Drug Designations and Approvals

[FDA Home](#)
[Developing Products for Rare Diseases & Conditions](#)



## Results for All Designations

[Return to Orphan Products Designation Search Page](#)

1 - 25 of 30

>>

Designations: 30

#	Generic Name	Orphan Designation	Designation Date	Designation Status
1	9-nitro-20-(S)-camptothecin	Treatment of pediatric HIV infection/AIDS	05/15/2001	Designated
2	Alfentanil	Treatment of painful HIV-associated neuropathy	08/09/2005	Designated
3	Atovaquone	Primary prophylaxis of HIV-infected persons at high risk for developing Toxoplasma gondii encephalitis.	03/16/1993	Designated/Withdrawn
4	Atovaquone	Prevention of Pneumocystis carinii pneumonia (PCP) in high-risk, HIV-infected patients defined by a history of one or more episodes of PCP and/or a peripheral CD4+ (T4 helper/inducer) lymphocyte count less than or equal to 200/mm <sup>3</sup> .	08/14/1991	Designated/Approved
5	capsaicin	Treatment of painful HIV-associated neuropathy	05/02/2003	Designated
6	Carbovir	Treatment of persons with AIDS and in patients with symptomatic HIV infection and a CD4 count less than 200/mm <sup>3</sup> .	12/13/1989	Designated/Withdrawn
7	Daunorubicin citrate liposome injection	Treatment of patients with advanced HIV-associated Kaposi's sarcoma.	05/14/1993	Designated/Approved
8	Deslorelin		11/05/1987	Designated
9	Dihydrotestosterone	Treatment of weight loss in AIDS patients with HIV-associated wasting.	02/05/1996	Designated
10	Epoetin alfa	Treatment of anemia associated with HIV infection or HIV treatment.	07/01/1991	Designated/Approved
11	Epoetin alpha	Treatment of HIV associated anemia related to HIV infection or HIV treatment.	03/07/1989	Designated
12	Human immunodeficiency virus immune globulin	Treatment of HIV-infected pediatric patients.	01/04/1995	Designated/Withdrawn
13	Human immunodeficiency virus immune globulin	Treatment of HIV-infected pregnant women and infants of HIV-infected mothers.	03/25/1992	Designated/Withdrawn
14	ibalizumab	Treatment of HIV-1 infection in treatment experienced adult patients with documented multi-antiretroviral class resistance and evidence of HIV-1 replication despite ongoing antiretroviral therapy	10/20/2014	Designated
15	Marijuana	Treatment of HIV-associated wasting syndrome.	05/25/1999	Designated
16	mepivacaine	Treatment of painful HIV-associated neuropathy	10/18/2006	Designated
17	Natural human lymphoblastoid interferon-alpha	Treatment of papillomavirus warts in the oral cavity of HIV positive patients.	08/10/2000	Designated
18	nevirapine	Prevention of HIV infection in pediatric patients under the age of 16 years	11/25/2009	Designated
19	Oxandrolone	Adjunctive therapy for AIDS patients suffering from HIV-wasting syndrome.	09/06/1991	Designated
20	Recombinant human CD4 immunoglobulin G	Treatment of AIDS resulting from infection with HIV-1.	08/30/1990	Designated
21	Recombinant human nerve growth factor	Treatment of HIV-associated sensory neuropathy.	04/16/1999	Designated
22	Recombinant soluble human CD4 (rCD4)	Treatment of AIDS in patients infected with HIV virus.	03/23/1989	Designated
23	REMUNE HIV 1	Treatment of pediatric HIV/AIDS (age through 16 years)	02/14/2014	Designated
24	Rifabutin	Prevention of disseminated Mycobacterium avium complex disease in patients with advanced HIV infection.	12/18/1989	Designated/Approved
25	Somatropin	Treatment of patients with HIV-associated adipose redistribution syndrome	03/16/2004	Designated

# Search Orphan Drug Designations and Approvals

[FDA Home](#) [Developing Products for Rare Diseases & Conditions](#)



## Results for All Designations

[Return to Orphan Products Designation Search Page](#)

Designations: 30

<< 26 - 30 of 30

#	Generic Name	Orphan Designation	Designation Date	Designation Status
26	tenofovir	Treatment of pediatric HIV infection.	03/17/2009	Designated/Approved
27	Testosterone	For use as physiologic testosterone replacement in androgen deficient HIV+ patients with an associated weight loss.	09/22/1997	Designated
28	Testosterone	Treatment of weight loss in AIDS patients with HIV-associated wasting.	02/05/1996	Designated/Withdrawn
29	Thalidomide	Treatment of HIV-associated wasting syndrome.	03/11/1996	Designated
30	Tramadol hydrochloride	Treatment of painful HIV-associated neuropathy	01/28/2005	Designated

# Advocacy discussions with FDA: candidate endpoints

- Potential ways to assess efficacy (clinical trial endpoints) of interventions for people with suboptimal immune recovery were discussed
- Biological markers:
  - CD4 T cell count
  - CD4:CD8 ratio
  - Immune response functionality assessed by routine vaccinations (e.g flu etc.)
  - Inflammatory & coagulation biomarkers
  - Immune activation biomarkers
  - T cell phenotypes
  - HIV DNA

# Advocacy discussions with FDA: candidate endpoints

- Patient Reported Outcomes (PROs)
  - Increasingly used but so far only employed for one HIV-related treatment (Egrifta)
- Frailty Indexes
  - Several developed (e.g. the [Veterans Aging Cohort Study Index](#)), could be considered in determining if improvements can result from immune enhancement therapies, but data lacking on incidence in people with suboptimal immune recovery
- Comorbidities and clinical symptoms
  - E.g. diarrhea, fatigue, pain, upper respiratory infections, skin disorders, etc. However there is also a lack of information on non-serious clinical symptoms in people with suboptimal immune recovery that needs to be addressed
- FDA open to ideas, but made clear that marketing approval of an intervention would require some evidence of clinical benefit

# Potential next steps

- Follow up webinar with researchers involved in studying suboptimal immune recovery
- Advocacy statement or article on global need for candidate interventions
- Continued dialogues with researchers, FDA and industry
- Other ideas?