

Part of an archive of historical pipeline materials produced under a collaborative project of the <u>COVID-19 Working Group NY</u>, <u>the PrEP4All Collaboration</u>, <u>AVAC</u>, and Treatment Action Group. **These pages are no longer being updated**. See: <u>About the COVID-19</u> Project for additional information.

# **COVID-19 Treatment**

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## Introduction

The research pipeline of potential treatments for COVID-19 comprises over a thousand clinical trials that cannot feasibly all be detailed here (see available <u>online tracking tools</u> and the excellent <u>summary page</u> from *STAT News*). As outlined in an <u>article in *STAT News*, there is a lack of overall coordination and <u>many studies</u> are small in size and unlikely to offer conclusive data on the efficacy of the interventions being assessed.</u>

The responsibility for generating sufficiently robust results to alter clinical care for people with COVID-19 has fallen on a <u>handful of large research programs</u>, most prominently <u>the RECOVERY trial</u> being conducted in the United Kingdom. Additional significant efforts include the World Health Organization's <u>Solidarity trial</u> and the U.S. government sponsored Adaptive COVID-19 Treatment Trials (ACTT).

The immune-suppressing corticosteroid dexamethasone was the first therapy to significantly reduce the risk of mortality in a large randomized controlled trial (RECOVERY), but the effect was limited to hospitalized individuals requiring oxygen supplementation or ventilation. More recently, a dual combination of monoclonal antibodies—bamlanivimab and etesevimab—has been <u>reported</u> to reduce the risk of a hospitalization and death in outpatients at a high risk of progression. See sections below for additional details.

The antiviral remdesivir is the <u>first drug to be approved</u> for COVID-19 in the U.S. by the FDA, based on the results of the ACTT-I randomized trial which reported a five day shortening of recovery time among people hospitalized with COVID-19 (from 15 to 10 days) but no significant effect on mortality. The larger WHO Solidarity trial found <u>no effect</u> <u>of remdesivir</u> on recovery time or mortality, but the results were published during the FDA review and, regrettably, the agency <u>does not appear to have paused</u> to consider them.

COVID-19 treatment guidelines are available from several sources, including the <u>U.S.</u> <u>National Institutes of Health</u> (NIH) and the <u>Infectious Diseases Society of America</u> (IDSA).

## **Antiviral Drugs**

Most pharmaceutical interventions—drugs—are usually <u>small molecule inhibitors</u> of <u>specific proteins</u>. It's usually <u>proteins</u> that carry out functions for a cell or virus, including structural functions like viral particle assembly or catalytic functions like facilitating needed chemical reactions.

<u>Small molecules</u> are smaller than proteins, DNA, or RNA, around the size of biological molecules like hormones and vitamins. This makes the small molecules more bioavailable, or able to be taken up via ingestion or injection into cells and tissues.

While some drugs are proteins (like the antibody molecule infliximab/Remicade) or peptides (small proteins, like insulin), most antiviral medications are small molecules. These drugs typically specifically inhibit a viral protein that isn't conserved in human cells (viral proteins that act unlike human proteins).

For example, a number of <u>HIV drugs</u> inhibit the <u>viral reverse transcriptase enzyme</u> that's needed to copy its genetic material. Human cells do not have reverse transcriptase, which reduces the potential for drug toxicity. Other HIV drugs inhibit the <u>viral protease</u> that's required to create functional HIV proteins in the cell. The influenza drug Tamiflu— <u>oseltamivir phosphate</u>—is a specific inhibitor of neuraminidase, a protein enzyme the flu virus needs to bud, or leave, cells. <u>Sofosbuvir</u>, a drug for <u>hepatitis C</u>, blocks the action of <u>NS5B</u>, a protein needed to copy the virus' genes for efficient viral replication. If a drug can block viral replication or budding or a protease the virus needs and the virus can't copy itself, the immune system may eventually clear or control the virus. For HIV and herpes viruses, drugs are required consistently to <u>keep viral replication in check</u>, whereas other viruses—like hepatitis C—can be cured.

# SARS-CoV-2 Drug Targets

SARS-CoV-2, the cause of COVID-19, is a <u>novel coronavirus</u> that emerged into humans in <u>late 2019</u>. Coronaviruses are single-stranded RNA viruses that bind to a receptor on the human cell's surface, release an <u>infectious RNA molecule</u> into the cell, and <u>replicate</u> <u>entirely in the cell's cytoplasm</u>. This implies that all the activities that would normally occur in a cell's nucleus—where the genetic material of the cell, its DNA, is kept—must be performed by viral machinery.

Coronaviruses therefore have several targets for antiviral therapy: the enzymes they use to copy and transcribe their RNA (RNA-dependent RNA polymerase); the machinery needed to cleave proteins into their functional units (proteases); and other enzymes the virus needs to replicate, including <u>RNA capping enzymes</u> and the <u>spike protein</u> needed to bind to cells. All of these unique viral enzymes are good targets for small molecule inhibitors that may be effective against SARS-CoV-2.

In addition to these virus-specific therapeutic targets, the immune system response to SARS-CoV-2 infection can be modulated by a large number of additional drugs. Severe disease initially seemed to <u>correlate with immune system overactivation</u> (sometimes called a '<u>cytokine storm</u>'), and <u>many immunomodulators</u>, particularly immunosuppressants, are in use to treat hospitalized COVID-19 patients.

## **FDA-Approved Drugs**

SARS-CoV-2 spread worldwide within the first few months of human-to-human transmission, <u>infecting millions and killing hundreds of thousands</u>. The rapid need to develop therapeutics led scientists to initially test drugs that were already approved by the FDA for safe use in human patients, also called <u>drug repurposing</u>.

The process of drug development through FDA approval takes <u>12 years on average</u>, although review can be expedited in emergency cases. Even in the most rapid case, <u>many</u> <u>months or a year</u> would be needed to test a drugs' safety before beginning trials to see whether the <u>drug is efficacious</u> in treating COVID-19.

For these reasons, the first <u>drugs tested</u> in people with COVID-19, the first therapeutics chosen for large-scale clinical trials, and the <u>first libraries of small molecules tested against</u> <u>SARS-CoV-2 replication in cells</u> were all drugs with previous FDA approval for use in humans (either for research or full approval for marketing).

## Remdesivir

Remdesivir is a small molecule drug developed to treat hepatitis C by inhibiting the RNA polymerase the virus uses for replication. After failing to block hepatitis C virus replication, it was <u>added to a screen</u> for use against other viral pathogens. Researchers at <u>Gilead</u> <u>Sciences, the U.S. Centers for Disease Control and Prevention (CDC), the U.S. National Institutes of Health, and U.S. Army Medical Research Institute of Infectious Diseases found potent antiviral activity against the Ebola virus. Remdesivir inhibits the <u>RNA</u> <u>dependent RNA polymerase</u> the virus uses to copy its genome.</u>

The bioavailability of the chemical precursor of remdesivir <u>was low</u>. Low bioavailability the ability of a drug to be soluble and accessible in a patient's cells where the virus is active—can prevent even a potent antiviral molecule from becoming a viable therapy in patients. To increase the bioavailability of the small molecule, researchers at Gilead <u>developed a pro-drug</u>, which is a molecule that is altered by the metabolism of a cell into its active form. The drug is therefore only active after being taken up by cells.

Remdesivir is the resulting pro-drug. It completed phase I and phase II clinical trials, demonstrating safety, but—after years of development—it did not show efficacy as a <u>treatment for Ebola virus</u>.

Research by the EcoHealth alliance <u>studying coronaviruses in bat reservoirs</u> led to <u>researchers testing remdesivir</u> against the human coronaviruses SARS-CoV-1 and MERS, in addition to novel bat coronaviruses in 2017, before the emergence of SARS-CoV-2.

Remdesivir showed potent antiviral activity against all these coronaviruses *in vitro*. Upon <u>sequencing</u> of SARS-CoV-2, the virus is clearly related to bat coronaviruses.

Remdesivir was therefore considered a likely therapeutic intervention against SARS-CoV-2. It had been FDA approved for human testing and showed <u>potent activity</u> directly against SARS-CoV-2 *in vitro*.

Doctors treating COVID-19 patients began using remdesivir on a <u>compassionate</u> <u>use/expanded access basis</u> early in the COVID-19 pandemic, outside of clinical trials. Gilead released results from <u>53 patients treated with the drug</u> under compassionate use guidelines,

A <u>study in non-human primates</u> showed significantly reduced viral loads of SARS-CoV-2 and less severe illness after remdesivir treatment. Initial anecdotal results prompted qualified hope and the upscaling of large-scale clinical trials with randomized control arms.

The U.S. National Institute of Allergy and Infectious Diseases (NIAID) sponsored the largest randomized controlled trial of remdesvir versus placebo completed to date, the <u>Adaptive COVID-19 Treatment Trial 1</u> (ACTT-I). The target population was adults hospitalized with COVID-19 who had evidence of lower respiratory tract involvement. After an <u>initial controversial announcement</u> of the results on April 29, 2020 that contained little information, a more detailed "preliminary report" was <u>published in the New England</u> *Journal of Medicine* on May 22, 2020.

Among 1,059 participants (538 randomized to remdesivir and 521 to placebo), remdesivir was associated with a slight but statistically significant improvement in recovery time: 11 days compared to 15 days for placebo recipients. There was a trend toward a reduction in the risk of death but it did not reach statistical significance, with <u>Kaplan-Meier</u> estimates of mortality by 14 days of 7.1% in the remdesivir arm and 11.9% in the placebo arm. The hazard ratio for death in the remdesivir arm compared to placebo was 0.70 with a 95% confidence interval (CI) of 0.47 to 1.04. The 95% CI is a measure of the uncertainty around a result and for statistical significance to be achieved the upper bound would have to be less than 1.

The ACTT-I trial administered a 200 mg loading dose of remdesivir on day one followed by 100 mg daily for up to nine additional days, or placebo for up to 10 days. Rates of adverse events were similar between the arms. The most common grade 3 or 4 adverse event among remdesivir recipients were anemia or decreased hemoglobin and kidney toxicities.

The final report from the ACTT-I trial was <u>published in the New England Journal of</u> <u>Medicine</u> on October 8, 2020. The improvement in recovery time extended slightly to 10 versus 15 days but mortality results were similar and did not reach statistical significance: estimates were 6.7% with remdesivir and 11.9% with placebo by day 15 and 11.4% compared to 15.2% by day 29 (hazard ratio, 0.73; 95% CI, 0.52 to 1.03).

Another trial in China with a placebo arm found a <u>non-significant effect</u> of remdesivir use on the duration of COVID-19 symptoms, but was stopped early because declining numbers of local COVID-19 cases precluded meeting the original enrollment target. <u>Published results</u> from research sponsored by Gilead (the SIMPLE study) demonstrated that the use of remdesivir lead to statistically significant improvement in symptom severity after a five-day course of treatment compared to placebo, with the response to a ten-day course of treatment with remdesivir nearing but not reaching statistical significance. There was no increase in adverse effects compared to the control group in this study.

On October 22, 2020, the FDA <u>approved remdesivir</u> (trade name Veklury) to treat COVID-19 in hospitalized people aged 12 or older and weighing at least 40kg, primarily based on the results of ACTT-I.

Results from the WHO solidarity trial, <u>published in the New England Journal of Medicine</u> on December 2, 2020, showed no significant effect of remdesivir on mortality, initiation of ventilation, or time to discharge among hospitalized people with COVID-19. The results were not considered by the FDA in their review. On November 20, 2020, <u>WHO</u> <u>recommended against</u> the use of remdesivir in hospitalized patients with COVID-19.

To date, no high-quality trial has yet found a significant impact of remdesivir use on the likelihood of death in mild, moderate, or severe disease. Furthermore, there are no data demonstrating effectiveness of remdesivir in mild or moderate COVID-19.

Remdesivir is so far only available as an intravenous injection and not as a soluble pill. Gilead has <u>initiated a trial</u> of an inhaled solution as a possible approach to outpatient treatment of COVID-19 with the drug.

# **Antiretroviral Drugs**

Some researchers have proposed repurposing certain antiretroviral drugs as COVID-19 treatments based on limited evidence of their potential to inhibit SARS-CoV-2 replication derived from <u>laboratory</u> and <u>modeling</u> studies. The FDA-approved protease inhibitor lopinavir/ritonavir (Kaletra) has been the most extensively tested candidate in clinical trials, but the accumulated results have definitively ruled out any benefits. The first indication was a small study <u>published in the New England Journal of Medicine</u> but since that time the <u>RECOVERY trial</u> and <u>WHO Solidarity trial</u> have both announced the discontinuation of lopinavir/ritonavir arms due to lack of efficacy.

A growing number of reports in the scientific literature indicate that <u>people with HIV</u> <u>experience COVID-19 outcomes similar to comparable HIV-negative individuals</u>, with little evidence of any preventative or disease-modulating effects of antiretrovirals. There are some exceptions, including a <u>cohort analysis</u> suggesting tenofovir/emtricitabine (Truvada) may have had a protective effect against severe outcomes in 21 people with HIV (compared to people with HIV receiving other antiretrovirals). However, the numbers involved are small and there is a <u>strong likelihood</u> that confounding factors could explain the result. A study testing Truvada as pre-exposure prophylaxis (PrEP) against COVID-19 in healthcare workers is <u>ongoing</u> in Spain.

# **Hepatitis C Drugs**

The combination of sofosbuvir and daclatasvir, two approved hepatitis C drugs, is being investigated in several COVID-19 trials in Iran (where a locally produced combination pill with the trade name *Sovodak* is the recommended first-line treatment for hepatitis C). Sofosbuvir is a nucleotide inhibitor of viral RNA polymerase while daclatasvir inhibits the hepatitis C NS5A protein. A study indicating both drugs have activity against SARS-CoV-2 is <u>available in preprint form</u> (meaning it has not yet undergone peer review).

Preliminary results from the Iranian trials were <u>reported at the 2020 IAS COVID-19 Virtual</u> <u>Conference</u>. The <u>presentation</u> offered limited evidence of faster recovery and reduced mortality in a relatively small number of participants. A 600-person double-blind, placebocontrolled trial named DISCOVER is underway with the aim of providing definitive information on the efficacy of the combination—results are anticipated in September 2020.

For background on the research effort to develop sofosbuvir and daclatasvir as potentially cheap treatments for COVID-19, see <u>Terri Wilder's interview with Andrew Hill</u> for TheBodyPro.com.

## Hydroxychloroquine

Early in the COVID-19 pandemic, there were reports from <u>small clinical studies</u> that the antimalarial drug <u>hydroxychloroquine</u> was an effective treatment, alone or in combination with an antibiotic such as <u>azithromycin</u>. Hydroxychloroquine modulates the immune system by <u>increasing the pH of lysosomes in cells</u>, decreasing their activity. <u>Early hypotheses about COVID-19 suggested</u> that altering the biology of lysosomes in this way may inhibit SARS-CoV-2.

The addition of azithromycin, a potent antibiotic and common treatment for bacterial pneumonia, was thought to be useful to help clear or keep clear secondary bacterial infections in the lung. Azithromycin may also <u>accumulate in endosomes</u> to block viral entry and/or <u>modulate the host immune response</u>.

Along with remdesivir, early studies showed that <u>chloroquine</u> and <u>hydroxychloroquine</u> inhibited SARS-CoV-2 infection in Vero cells. Fluorescent microscopy showed an inhibition of viral entry, in agreement with the hypothesis that these molecules block SARS-CoV-2's use of the cell's lysosomes to infect the cell.

The off-label use of hydroxychloroquine for COVID-19 treatment <u>became routine in critical</u> <u>care situations</u>. However, as soon as larger clinical trials with control groups were published or the original data <u>were reanalyzed</u>, it became clear that hydroxychloroquine did not decrease the <u>likelihood of death</u> or shorten the <u>duration of symptoms</u>.

The hydroxychloroquine arms of both the <u>RECOVERY trial</u> and <u>WHO Solidarity trial</u> have been terminated due to lack of benefit. The FDA has <u>revoked the Emergency Use</u> <u>Authorization</u> allowing hydroxychloroquine use to treat SARS-CoV-2 infections in the United States.

# Monoclonal Antibodies (mAb, Neutralizing Antibodies)

Antibodies—<u>Y-shaped proteins</u> that are produced by the host adaptive immune system in response to infection—can <u>bind to and neutralize a pathogen</u> upon reinfection. Many vaccines function by <u>raising a specific antibody response</u> to a virus or bacteria without requiring infection, thus leading to protection.

Using current biomedical technologies, antibodies against a pathogen can be isolated from humans or animal models, including mice, sheep, cows, <u>llamas</u>, rats, and rabbits. Polyclonal antibodies include a complex mix of antibodies against a specific antigen—a portion of a virus or bacteria. Monoclonal antibodies require the selection of one specific antibody—ideally one that has strong neutralizing capabilities against the antigen—and its production in a cell line.

In the absence of a viable SARS-CoV-2 vaccine, it may be possible to produce antibodies *in vitro*, in a lab, that could be injected into patients to neutralize the virus and prevent its activity.

Early in the COVID-19 pandemic, <u>scientists solved the structure of the spike protein</u>, which sits on the SARS-CoV-2 outer membrane and is required for cellular entry. The spike protein is a target of the host immune response and blocking its activity may inhibit infection. Researchers have reported that polyclonal antibodies against the spike protein <u>prevented infection in a cell-based model</u>. Subsequent work demonstrated that a monoclonal antibody could <u>bind to the spike protein</u> and <u>prevent infection</u> in a cell-based model. Other reported monoclonal antibodies <u>block the binding of the spike protein</u> to its cellular receptor (ACE2) altogether.

Several clinical trials currently listed in clinicaltrials.gov are evaluating the use of monoclonal antibodies blocking the spike protein in treating COVID-19. The company Regeneron Pharmaceuticals is sponsoring trials of a monoclonal antibody cocktail containing casirivimab and imdevimab including a <u>phase III evaluation</u> of the preventive efficacy of the antibodies in adult household contacts of individuals who have tested positive for SARS-CoV-2. Researchers have <u>published a study</u> describing evidence of efficacy of these antibodies in macaque and ferret models. The Regeneron product has been <u>added the RECOVERY trial protocol</u> in the U.K.

The company has published interim results from an ongoing outpatient trial in the New <u>England Journal of Medicine</u>, showing reductions in SARS-CoV-2 viral load. Regeneron's antibody cocktail has achieved notoriety after being administered to the current U.S. President, whose painfully obtuse anti-science rhetoric was placed on hold when needing state-of-the-art therapeutic intervention for his own SARS-CoV-2 infection.

On October 7, 2020, Regeneron <u>submitted an emergency use authorization request</u> to the FDA, <u>which was granted</u> on November 21, 2020. The antibodies are now named casirivimab and imdevimab. The FDA indication is for:

"treatment of mild to moderate COVID-19 in adults and pediatric patients (12 years of age or older weighing at least 40 kilograms) with positive results of direct SARS-CoV-2 viral testing and who are at high risk for progressing to severe COVID-19. This includes those who are 65 years of age or older or who have certain chronic medical conditions." The National Institutes of Health (NIH) is conducting multiple studies of LY-CoV555, a monoclonal antibody against the SARS-CoV-2 spike protein developed by Eli Lilly and Company in collaboration with AbCellera. The treatment trial <u>ACTIV-2</u> is investigating outpatient administration. ACTIV-3, which combined LY-CoV555 with remdesivir in people hospitalized with COVID-19, <u>was closed</u> on the recommendation of the data safety monitoring board (DSMB) due to a lack of clinical benefit (the results have <u>since been</u> <u>published</u>). The DSMB has not recommended any interruption to the ACTIV-2 trial.

On September 16, 2020, Eli Lilly and Company <u>issued a press release</u> stating that LY-CoV555 administration significantly reduced the risk of hospitalization or emergency room visits in people with mild to moderate COVID-19 in a phase II clinical trial (<u>BLAZE-1</u>). The results are now <u>published in the *New England Journal of Medicine*</u>. Based on these data, <u>an emergency use authorization</u> for LY-CoV555 monotherapy was <u>authorized by the FDA</u> on November 9, 2020. The indicated usage is the same as that cited above for the Regeneron antibody cocktail. Supplies of both products <u>are limited</u>.

The company has <u>also released</u> unpublished results from a study combining LY-CoV555 (now named bamlanivimab) and a second monoclonal antibody, LY-CoV016 (etesevimab). The combination is reported to have lessened the frequency of hospitalization and deaths by 70% in an outpatient population at high risk of COVID-19 progression. Among 1,035 participants, 11 endpoints were documented in recipients of the monoclonal antibody combination compared to 36 events in the placebo arm. There were ten deaths, all of which occurred in placebo recipients.

Based on these findings, the FDA has granted an <u>emergency use authorization</u> "for the treatment of mild to moderate COVID-19 in adults and pediatric patients (12 years of age or older weighing at least 40 kilograms) who test positive for SARS-CoV-2 and who are at high risk for progressing to severe COVID-19."

The preventive efficacy of bamlanivimab has also been <u>assessed in a phase III trial</u> conducted in partnership with the NIH's COVID-19 Prevention Network (<u>CoVPN</u>). The study enrolled residents and staff at long-term care facilities in the U.S. and, according to <u>news releases</u>, demonstrated a significant reduction in the incidence of both symptomatic and asymptomatic SARS-CoV-2 infection. The results are not yet published.

A partnership between the biotechnology company Vir and GlaxoSmithKline is <u>initiating an</u> <u>efficacy study</u> of a monoclonal antibody, VIR-7831, in people with early symptomatic COVID-19. Approximately 1,300 participants will be enrolled and the primary endpoint is prevention of hospitalization.

AstraZeneca has developed a dual long-acting monoclonal antibody, AZD7442, <u>which will</u> <u>be evaluated</u> for both the prevention and treatment of COVID-19. The company appears to be hoping for dosing as infrequent as once every six or 12 months.

Alex Renn and colleagues have <u>published a helpful open access summary</u> of the antibody pipeline (as of July 28, 2020) in *Trends in Pharmacological Sciences*.

Monoclonal antibodies are expensive and difficult to produce, particularly at large scale. Whether this will limit their use for COVID-19 treatment and prevention is unclear. On August 10, 2020, IAVI and the Wellcome Trust <u>issued a timely report</u> outlining issues that will need to be addressed to expand global access to monoclonal antibody-based products.

#### **Convalescent Plasma**

People with COVID-19 also <u>produce antibodies</u> against the virus as part of their immune response. While the levels of various antibodies (IgA, IgG, and IgM) vary widely between individuals, current research shows that most or <u>all people with COVID-19</u> eventually seroconvert. Seroconversion describes the <u>ability to detect antibodies against a virus</u>, a clear, molecular marker that someone has been infected with SARS-CoV-2.

People with COVID-19 show remarkably different levels not only of antibodies, but of <u>neutralizing antibodies</u>. Not all antibodies bind to and block viral infection equally. They may target different portions of the spike protein or other proteins, and some of these interactions may not have an impact on the ability of the virus to infect cells. Researchers are working to better understand the reasons for the different levels of antibodies and neutralizing antibodies between individuals.

Early in the COVID-19 epidemic, doctors <u>hypothesized</u> that plasma from people who had recovered from the disease (convalescent plasma) may be a viable treatment. Plasma is a blood product with the cells and clotting factors removed. It's easy to make from blood samples and contains the antibodies circulating in an individual.

Small studies on the SARS-CoV-2 virus suggested a significant use of convalescent plasma to <u>shorten hospital stay</u> and <u>decrease mortality</u>.

Convalescent plasma has been used to tread COVID-19 in countries around the world. Some researchers, but not all, are testing the plasma's ability to neutralize SARS-CoV-2 before use. In early 2020, very small studies (involving <u>10</u>, <u>six</u>, and <u>five</u> participants, most severely ill) described a potential benefit of this treatment. No large-scale clinical trial data are currently available for the treatment of COVID-19 with convalescent plasma. Many trials are underway.

On August 23, 2020, convalescent plasma became the latest COVID-19 therapeutic candidate to be dishonestly politicized by the Trump administration (on the eve of the Republican National Convention). Despite extremely limited data, which includes no positive findings from randomized, controlled clinical trials, the FDA announced the <u>issuance of an emergency use authorization</u>. The <u>FDA press release</u> used wildly inappropriate language lauding the current administration and referring to the therapy as "promising." Even worse, the FDA commissioner Stephen Hahn joined other administration spokespeople in lying egregiously to the public about a "35% mortality reduction" reported in a retrospective, observational analysis of convalescent plasma recipients.

The analysis is contained in <u>preprint paper</u> authored by proponents of convalescent plasma, which has not been peer reviewed. Among over 35,000 people with COVID-19

who received convalescent plasma through an expanded access program, a small subset had information available on levels of antibodies in the plasma prior to administration. An exploratory analysis comparing 515 recipients who received plasma with "high" antibody levels to 561 recipients with "low" antibody levels found that mortality was lower in the former group after seven days (relative risk of 0.65 with a wide confidence interval of 0.47-0.92) and, to a lesser extent, after 30 days (relative risk of 0.77 with a confidence interval of 0.63-0.94).

The relative risk of 0.65 at day seven is the source of the false claim of a 35% reduction in mortality. The claim is false because:

- It only relates to a small subset of people who received convalescent plasma containing antibody levels the researchers defined as "high," and thus it cannot in any way be applied to all recipients of convalescent plasma. This gives the lie to the statement that 35 out of every 100 recipients of convalescent plasma would survive COVID-19 due to the treatment. This false statement has been made by <u>Stephen</u> <u>Hahn</u>, FDA commissioner, <u>Michael Caputo</u>, the spokesperson for the Department of Health and Human Services (DHHS), and <u>Emily Miller</u>, the FDA spokesperson.
- The comparison isn't with a control group that didn't receive convalescent plasma, but with a group that received plasma containing antibody levels the researchers defined as "low." There could be many differences between these groups with the potential to affect mortality outcomes that the researchers didn't capture (referred to as confounding variables, the bane of observational studies).

On February 4, 2021, the FDA <u>updated the emergency use authorization</u> to "reflect new data." The authorization is now limited to: "the use of high titer COVID-19 convalescent plasma only for the treatment of hospitalized patients with COVID-19 early in the disease course and to those hospitalized patients who have impaired humoral immunity and cannot produce an adequate antibody response."

The changes were driven by news from the U.K. <u>RECOVERY trial</u>, which <u>announced on</u> <u>January 15, 2021</u> that it was ending recruitment to the convalescent plasma arm of the study on the advice of its Date Monitoring Committee, due to lack of benefit.

Findings from a randomized controlled trial conducted in India were <u>published in *BMJ*</u> on October 22, 2020. There was no reduction in progression to severe disease or mortality after 28 days for the 235 participants randomized to convalescent plasma. Similarly negative results from a trial in Argentina were <u>published in the New England Journal of</u> <u>Medicine</u> on November 24, 2020.

#### Immunomodulating Drugs for Severe COVID-19

In severe cases of COVID-19, <u>clinicians rapidly noted a dysregulation of the immune</u> <u>system</u>, with an apparent overreaction of the host response that correlates with bad disease outcomes, including death. This is often referred to as a '<u>cytokine storm</u>,' as <u>cytokines are small protein effectors</u> of the immune response, and many cytokines are potent activators of innate and adaptive immune cells. Cells of the <u>innate immune system</u>, such as macrophages, dendritic cells, natural killer cells, and mast cells respond to general signals of viral or bacterial infection. <u>Adaptive</u> <u>immune cells</u>, such as T-cells and B-cells, are selected upon infection with a virus or bacteria to respond specifically to that infectious agent.

The exact nature of the immune reaction and overreaction to SARS-CoV-2 is still a new field and little molecular detail currently exists. It's clear that <u>immunocompromised</u> <u>individuals</u> are at <u>greater risk for severe disease</u>, a seeming contradiction for an overreaction of the immune system. However, it appears that a strong immune response may prevent viral replication early in infection (particularly a T-cell response, potentially due to <u>cross reactivity</u> from other human coronaviruses). In the absence of this robust response, sufficient SARS-CoV-2 replication in the lungs, as well as other organs, promotes the <u>multi-system immune overreaction</u> that is deadly in many patients.

It's therefore critical to note that immunosuppressants are unlikely beneficial in cases of mild or moderate COVID-19 and would be contraindicated as pre-exposure prophylaxis (PrEP) for healthy individuals.

Clinicians started treating these severe COVID-19 cases with immunosuppressants off label in early 2020. These drugs include corticosteroids such as hydrocortisone and dexamethasone, IL-6 inhibitor tocilizumab, IL-1 inhibitor anakinra, and JAK/STAT inhibitors such as jakotinib hydrochloride and ruxolitinib.

#### **Dexamethasone and Other Corticosteroids**

Dexamethasone is a low-cost corticosteroid steroid anti-inflammatory drug. This class of drug has a general suppressive effect on the immune system and is used to treat a wide variety of <u>allergies</u> and <u>autoimmune diseases</u>.

In a <u>large-scale well-controlled clinical trial</u> at Oxford University, low-dose dexamethasone significantly decreased the incidence of mortality in people with severe COVID-19. The decrease in mortality was one third in patients on ventilators and 20% for those receiving oxygen. There was no effect (and possibly some evidence of harm) in milder patients who were not receiving oxygen, indicating that the drug is only an appropriate intervention for more advanced cases. Dexamethasone was dosed at 6mg once per day and given either by pill or IV. At the time of writing, it is the only medication that has shown a significant effect on mortality in any clinical trial worldwide.

Other corticosteroids including prednisone and hydrocortisone are being routinely used both off label and in clinical trials for severe COVID-19.

#### **ACE Inhibitors**

Angiotensin-converting enzyme (ACE) inhibitors represent another example of FDA approved drugs being repurposed and tested against COVID-19. Primarily used to treat high blood pressure (hypertension) and heart disease, there were initially concerns that ACE inhibitors might exacerbate COVID-19 due to upregulating expression of the ACE2 receptor that the SARS-CoV-2 virus uses to infect cells. However, researchers have since

proposed a <u>countervailing hypothesis</u> that ACE inhibitors may help counteract lungdamaging effects of ACE2 receptor downregulation induced by SARS-CoV-2. A <u>review of</u> <u>the evidence</u> by WHO found no cause to recommend that individuals currently receiving ACE inhibitors alter their treatment in response to the COVID-19 pandemic.

Observational studies in the <u>U.S.</u>, <u>United Kingdom</u>, and <u>China</u> have suggested that ACE inhibitors may be associated with reduced COVID-19 disease severity (particularly among seniors), and several studies are more rigorously testing their effects including placebocontrolled trials of <u>ramipril</u> at the University of California, San Diego and <u>inhaled captopril</u> at Hôpitaux de Paris in France.

## **IL Blockers**

Interleukins (IL) are a family of small protein cytokines that can activate or suppress the immune response. Activating ILs, such as IL-1, IL-2, and IL-6 have secondary effects that induce inflammation. These molecules can promote autoimmune disorders, and researchers have therefore developed inhibitors of their activity.

ILs are secreted by immune cells and bind to <u>receptors</u> on other cells to mediate their activation of the immune system. <u>Antagonists</u> are molecules or proteins that bind to a protein and block its activity, usually by preventing an interaction between a protein and its receptor or binding partner. IL-modulating drugs work by acting as antagonists to the IL receptors, therefore preventing full immune activation upon cytokine release.

<u>Tocilizumab</u> is a monoclonal antibody that binds to and blocks the receptor for IL-6. Tocilizumab was genetically modified to be <u>long lasting</u> and is administered only by intravenous injection. Clinicians have reported small, <u>uncontrolled</u>, <u>cohort</u> and <u>observational</u> studies in severe COVID-19, and dozens of clinical trials are underway worldwide to test its use.

The <u>first results</u> from a large-scale randomized controlled study demonstrated that tocilizumab (trade name Actemra) offered no significant benefits to people hospitalized with COVID-19. Among 450 participants, there was no evidence of improvements in clinical status or mortality. A smaller randomized trial <u>published in the New England</u> <u>Journal of Medicine</u> involved 130 hospitalized participants, finding some evidence of a reduction in the risk of mechanical and noninvasive ventilation or mortality by day 14, but no significant effect on mortality over 28 days.

<u>Sarilumab</u> (trade name Kevzara), a human monoclonal antibody against the IL-6 receptor, advanced into a large phase III trial but <u>it was stopped</u> due to lack of any evidence of efficacy.

More recently, interim results from the REMAP-CAP trial have been published in preprint form. When administered to people hospitalized with severe COVID-19 within 24 hours of starting respiratory or cardiovascular organ support, tocilizumab or sarilumab (in most cases in combination with a corticosteroid) reduced the risk of death by approximately 24% and lessened time spent in intensive care. The findings led U.K. health authorities to issue an interim position statement advising clinicians "to consider" tocilizumab (or sarilumab as

an alternative) for people with severe pneumonia from COVID-19, provided that treatment is started within 24 hours of intensive care admission. The NIH guidelines panel, however, has <u>assessed the REMAP-CAP results</u> and concluded that "there are insufficient data to recommend either for or against the use of tocilizumab or sarilumab for the treatment of COVID-19" in this setting.

<u>Anakinra</u> (Kineret) is an IL-1 receptor antagonist developed from the native human protein that has this same function. A dozen clinical trials worldwide are currently testing its use in <u>severe COVID-19</u>.

#### **JAK/Stat Inhibition**

When an IL (or other cytokine) binds to its receptor on a cell, a signaling pathway inside the cell mediates the activation. This transmits the signal from the cell membrane, where an IL binds, to the cell's nucleus, where changes in <u>gene expression activate the cell</u>.

Janus kinases (JAK) and signal transducer and activator of transcription proteins (STATs) are the protein effectors of this downstream activation. Therefore, <u>blocking the function of</u> <u>JAK/STAT pathways</u> would similarly inhibit immune activation.

Drugs that block JAK/STAT signaling are called JAK inhibitors and include <u>tofacitinib</u>, <u>ruxolitinib</u>, <u>baricitinib</u>, and <u>peficitinib</u>. There are fewer initial data on these molecules in COVID-19, likely due to the fact that they impact immune signaling much more broadly than IL-1 or IL-6 inhibitors, and there are fears this could increase viral replication. Several <u>clinical studies</u> are underway to evaluate ruxolitinib for the treatment of severe COVID-19.

Preliminary results from a large NIAID-sponsored trial—<u>Adaptive COVID-19 Treatment</u> <u>Trial 2</u> (ACTT-II)—testing the combination of baricitinib and remdesivir compared to remdesivir alone were <u>described in a press release</u> on September 14, 2020. The addition of baricitinib led to a statistically significant but meager improvement in recovery time of one day. Additional analyses are underway and details on the study outcomes will be reported in a peer reviewed journal. Surprisingly, the data were sufficient to convince the FDA to <u>issue an emergency use</u> authorization for the combination of baricitinib with remdesivir on November 19, 2020.

#### **Other Immune Modulators**

On October 16, 2020, the NIH <u>announced the launch</u> of the ACTIV-1 Immune Modulators (IM) trial, which will investigate multiple interventions designed to quell inflammation and immune system overreaction in hospitalized adults with COVID-19. The therapeutics initially selected for inclusion are:

- Infliximab, an antibody that blocks the proinflammatory cytokine TNF-α
- <u>Abatacept</u>, which dampens T cell signaling
- <u>Cenicriviroc</u>, an inhibitor of CCR2 and CCR5 receptors

A study of the anti-inflammatory drug colchicine, a medication used to treat gout and Behçet's disease, has <u>reported evidence of possible benefits</u> in outpatients with COVID-19. The drug remains under assessment in the larger RECOVERY trial.

## Interferons

Researchers have <u>found evidence</u> that SARS-CoV-2 suppresses production of cytokines called interferons, which are normally an early and important component of the immune response to viruses. Furthermore, <u>studies have shown</u> that defects in interferon-related genes and the presence of anti-interferon antibodies may explain around 14% of severe COVID-19 cases.

A commercially available injectable formulation of beta interferon (interferon  $\beta$  1a, FDAapproved for multiple sclerosis) has emerged as a lead therapeutic candidate for COVID-19. Several small trials have suggested beneficial effects on <u>viral load</u>, <u>hospital discharge</u> <u>rates</u>, <u>and mortality</u>. The company Synairgen is developing an aerosol formulation of interferon  $\beta$  1a delivered by nebulizer. In a randomized controlled study of 101 hospitalized people with COVID-19, the intervention was associated with a greater likelihood of improvement and more rapid recovery compared to placebo, "providing a strong rationale for further trials" according to the authors of <u>the published paper</u>. A larger phase III trial is <u>now underway</u>.

The NIH has <u>announced</u> that subcutaneous interferon  $\beta$  1a will be tested in combination with remdesivir in the third installment of their Adaptive COVID-19 Treatment Trial (ACTT), which will recruit over 1,000 hospitalized adults. After a <u>DSMB review</u> found evidence of adverse events associated with interferon  $\beta$  1a in some participants, the original design of the study has been amended to preclude additional enrollment of people with severe COVID-19 requiring high-flow oxygen or mechanical ventilation.

The WHO <u>Solidarity trial</u> did not <u>find any evidence of benefit</u> associated with interferon  $\beta$  1a administration (primarily subcutaneous) in 2,063 people hospitalized with COVID-19. There was no significant reduction in risk of ventilation or mortality compared to the control arm.

# **Pre-Clinical Coronavirus Studies**

Huge amounts of research are currently underway to <u>develop and test a COVID-19</u> <u>vaccine</u> and to test existing medicines for activity against SARS-CoV-2 in large, wellcontrolled <u>clinical trials</u>. For other viral pathogens, including HIV and hepatitis C, vaccines to prevent infection have thus far remained elusive after decades of research. Indeed, for both HIV and hepatitis C, significant pre-clinical research into the basic molecular and cellular biology and biochemistry of the viruses was required to develop potent small molecule inhibitors to treat these viral infections.

These studies included structural biology to solve crystal structures of the <u>HIV viral</u> <u>protease</u> and hepatitis C viral <u>replicase</u>. This work facilitated structural design of small molecule inhibitors in addition to significant <u>high throughput screening</u> of large libraries of small molecules, both with known and unknown function. While these types of studies take

significantly more time than repurposing of existing small molecules (or even accelerated vaccine development), the investment is well worth the effort in case vaccine research fails, provides only partial protection, or other novel coronaviruses emerge in the future.

After the emergence of SARS-CoV-1, MERS, and now SARS-CoV-2, it's become clear that the most basic pre-clinical research into this family of viruses is now of the utmost urgency and should be prioritized alongside vaccine, clinical, and translational studies.

## **Clinical Trial Design**

The COVID-19 pandemic and <u>subsequent empirical use of off label therapeutics</u> reinforces the need to rapidly respond to a crisis with the best possible science. Out of necessity, much of the early reports on COVID-19 therapies were from small trials without placebo control arms. Only later did large-scale studies <u>reporting on many of these treatments</u>— and the adverse effects associated with them—emerge, leading to a rapidly shifting treatment landscape and to confusion at all levels of the health care system, from doctors to the president of the United States. <u>Many therapies</u> that showed promise in small studies did not turn out to be effective when examined at scale.

Even drugs that are extremely safe in other contexts must be carefully tested in people with COVID-19. Large, well-controlled clinical trials are needed to evaluate the safety, efficacy, and dosing of any therapeutic intervention.

These studies should have well defined endpoints that are determined before the trial starts. <u>Various endpoints</u> have emerged for COVID-19 clinical trials, including length of hospitalization, severity of disease, incidence of ongoing hospitalization after a certain interval of time, and incidence of mortality. At times these <u>endpoints have shifted mid-study</u>. Researchers must clearly report their endpoints, the size of the study, details of the administration and dose of the intervention, and their confidence intervals (as opposed to just a p-value) in any report on their findings.

The ethical considerations and risk of clinical trials have thus far largely removed some vulnerable populations. Children and pregnant women may have a different response to COVID-19, and therefore therapeutics may act differently in these populations as well. There is a <u>long history</u> of <u>clinical trials</u> with robust informed consent in these high-risk populations, and researchers should be engaging with this literature immediately.

Community engagement in clinical trial design and implementation is critical. Patients with, or who have recovered from, COVID-19, or who are at the highest risk of the disease must be invited to participate at every level of scientific decision making. This includes healthcare workers, essential workers (including those in factories, farms, and meat packing plants), the elderly, those who live in congregate settings, especially nursing homes, those with underlying conditions, and black and brown Americans.

If COVID-19 treatments or vaccines are developed, clinicians and public health officials must be able <u>to reach people</u> at a <u>nearly unprecedented level</u>. This will require trust between the biomedical infrastructure and the communities they serve. This trust must be established now, in part through the ethical, community-based, and science-driven

inclusion of the most at need in highly ethical and openly transparent clinical trials. The time for community engagement in clinical trials isn't now; it's yesterday.

COVID-19 is also a global disease, and so clinical trials must be viewed in a global context. This requires coordination at all levels of government and between governments. Activists and advocates can and must play a central role here, led by those at greatest risk and with the closest ties to at-risk communities.